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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/577,562 ✓
Filing Date	April 27, 2006
First Named Inventor	Seok-Hyun Yun
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	36179/US/2 - 475387-00030

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-1449 form with references and Return Receipt Postcard
<div>Remarks</div>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Dorsey & Whitney, L.L.P.		
Signature			
Printed name	Gary Abelev, Esq.		
Date	June 16, 2006	Reg. No.	Reg. No. 40,479

### CERTIFICATE OF TRANSMISSION/MAILING

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Signature			
Typed or printed name	Gary Abelev, Esq.	Date	June 16, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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036179/US/2 - 475387-00030

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Seok-Hyun Yun et al.

Serial No. : 10/577,562

Filed : April 27, 2006

Entitled : METHOD AND APPARATUS FOR PERFORMING OPTICAL  
IMAGING USING FREQUENCY-DOMAIN INTER-  
FEROMETRY

Group Art Unit : To be Assigned

Examiner : To be Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U. S.  
mail addressed to: Commissioner for Patents, P.O. Box 1450,  
Alexandria, Virginia 22313-1450 on this day of June 16, 2006.

  
(Signature)

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the PCT, foreign and non-patent references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

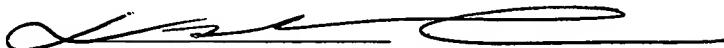
United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

This submission is being filed before any action by the U.S. Patent and Trademark Office on the merits. Therefore, applicants do not believe that any fee is due in connection with the submission of this paper. However, if any fee is due, or if any overpayment has been made, the Commissioner is authorized to charge any such fee or credit any overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

**Dorsey & Whitney, LLP**



Gary Abelev  
PTO Reg. No. 40,479  
Attorneys for Applicants  
Customer No. 30873  
(212) 415-9371

Form PTO-1449 U.S. Department of Commerce  
(REV. 2-82) Patent and Trademark Office

Atty. Docket No.  
36179/US/2 - 475387-00030

Serial No.  
10/577,562

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

(Use several sheets if necessary)



Applicant(s)  
Seok Hyun Yun

Filing Date  
April 27, 2006

Group  
To be assigned

**U.S. PATENT DOCUMENTS**

*Exam. Init.	Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
	4 5 8 5 3 4 9	April 29, 1986	Gross et al.			
	5 8 1 7 1 4 4	October 6, 1998	Gregory			
	5 8 4 3 0 0 0	December 1, 1998	Nishioka et al.			
	6 0 5 3 6 1 3	April 25, 2000	Wei et al.			
	6 0 0 4 3 1 4	December 21,	Wei et al.			
	4 2 9 5 7 3 8	October 20, 1981	Meltz et al. **			
	4 3 0 0 8 1 6	November 17,	Snitzer et al. **			
	4 7 7 0 4 9 2	September 13, 1988	Levin et al. **			

**FOREIGN PATENT DOCUMENT**

Document No.	Date	Country	Class	SubClass	Translator Yes No
1 9 5 4 2 9 5 5	May 22, 1997	Germany **			
0 3 0 6 2 8 0 2	July 31, 2003	WIPO**			
9 5 3 3 9 7 1	December 14, 1995	WIPO**			

\*\* References cited in International Search Report

**OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)**

	International Search Report for International Patent application No. PCT/US2005/023664.
	International Written Opinion for International Patent application No. PCT/US2005/023664.
	Tearney et al., "Spectrally encoded miniature endoscopy" Optical Society of America; Optical Letters Vol. 27, No. 6, March 15, 2002; pages 412-414
	Yelin et al., "Double-clad Fiber for Endoscopy" Optical Society of America; Optical Letters Vol. 29, No. 20, October 16, 2005; pages 2408-2410

Examiner

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce  
(REV. 2-82) Patent and Trademark Office

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Seok Hyun Yun

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			International Search Report for International Patent application No. PCT/US2001/049704.
			International Search Report for International Patent application No. PCT/US2004/039454.
			International Written Opinion for International Patent application No. PCT/US2004/039454.
			PCT International Preliminary Report on Patentability for International Application No. PCT/US2004/038404 dated June 2, 2006

4841-3861-7857\1

Examiner

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.